



UNIVERSITY OF CALIFORNIA

First Annual Compliance and Audit Symposium

February 3 – 5, 2009

San Francisco, California

SPEAKER BIOGRAPHIES

Scott Abrams

Senior Counsel, University of California Office of the Regents

Scott Abrams is Senior Counsel in the Office of the General Counsel. Prior to joining the office, Mr. Abrams was a partner in the Real Estate and Infrastructure Practice Groups at Nossaman, Guthner, Knox & Elliott LLP in San Francisco. Mr. Abrams' primary areas of practice over domestic and international business and commercial transactions, including real estate acquisitions, dispositions, development and leasing, construction, commercial lending and joint ventures. He is a graduate of UCLA (B.A. 1990) and the UCLA School of Law (J.D. 1993).

Ellen Auriti

Executive Director of Research Policy, University of California Office of the President

Ellen Auriti is the Executive Director of Research Policy and Legislation in the Office of Research and Graduate Studies at the University of California's Office of the President (UCOP). She leads a unit that provides coordination and guidance on systemwide research policy issues, working with the University's ten campuses and with other units within UCOP on developing and revising systemwide research policies and guidelines, developing University positions on public policies and proposals affecting research, and coordinating with campuses regarding significant legislative and regulatory changes affecting research. Ellen received her B.A. in American Studies, *summa cum laude*, from Yale University, and her J.D. from UC Berkeley's Boalt Hall School of Law. Prior to joining the University of California, Ellen was an attorney with Morrison & Foerster in San Francisco.

Susana Atwood

Audit Manager, University of California Office of the Regents

Susana Atwood is Audit Manager in Ethics, Compliance and Audit Services in the Office of the Regents, University of California. She has over 35 years of general business experience, including four years of external auditing in the not-for-profit sector and eight years of internal auditing with the University of California. Susana has her Certified Public Accountant, Certified Internal Auditor and is a Certified Information Systems Auditor candidate. She also teaches Accounting and Auditing at City College of San Francisco. Susan serves on the Sustainability Committee at UCOP.

Margo Bennett

Captain, University of California at Berkeley

Margo Bennett, Captain UC Berkeley Police Department, has been at UCB PD for over six years. She has over 30 years experience in law enforcement with 13 years in the FBI. While assigned to the FBI Academy, Margo taught Interview & Interrogation classes. In 2002 she moved to the East Bay when she joined the police department at UC Berkeley.

Laura Bishin

Principal Auditor, University of California at Riverside

Laura Bishin is a Principal Auditor at UC Riverside. She is a CPA and past Certified Information Systems Auditor with over 17 years experience in audit, corporate accounting and financial systems. She has worked extensively with Computer Assisted Audit Techniques and tools such as ACL, SQL, Access, Business Objects, and Crystal.

Gretchen Steuber Bolar

Vice Chancellor for Academic Planning & Budget, University of California at Riverside

In her position, Ms. Bolar is responsible for planning, coordination and analysis of resource issues, especially as they relate to academic planning, capital planning, and fiscal planning, budgetary operations, institutional studies, and space and data management. Prior to UCR, Ms. Bolar was the Associate Vice Chancellor for Academic Planning and Budget for UCLA. She has a B.A., M.A. in Musicology from the University of Minnesota.

Gregory Buchanan

Staff Auditor, University of California at San Diego

Greg Buchanan is a Staff Auditor at UCSD, and is currently designated as a Certified Public Accountant (CPA) and Certified Information Systems Auditor (CISA). Mr. Buchanan conducts different types of internal audit engagements for the UCSD campus, but has recently been focusing most of his efforts on distributed network security reviews. Prior to joining the University in 2004, Mr. Buchanan worked as an external auditor for a Bay Area firm specializing in financial statements audits of government and not-for-profit entities.

Stephanie Burke

Director of Audit & Management Advisory Services, University of California at San Diego

Stephanie Burke is the Director of Audit & Management Advisory Services for the San Diego campus. Prior to accepting a position in San Diego in 1994, Ms. Burke held positions at the University's Office of the President, Lawrence Berkeley National Laboratory, Lawrence Livermore National Laboratory, and UC Santa Cruz. Stephanie has been an active member of The Institute of Internal Auditors throughout much of her career. She has been President of her local chapter, and has held several international positions including Chairperson of the International Quality Assurance Committee and Vice Chairperson of the International Membership Committee. Stephanie graduated Phi Beta Kappa from the UC Berkeley with a bachelor's degree in Psychology and an M.B.A. in General Management. She is a Certified Public Accountant and a Certified Fraud Examiner.

Donna Carpenter

Vice Chancellor for the Administrative Services Division, University of California at Santa Barbara

In August 2004 Donna Carpenter became the Acting Vice Chancellor for the Administrative Services Division at UCSB and appointed Vice Chancellor in May 2006. She is responsible for all central administrative departments for the campus. As a member of the campus senior leadership team, Donna has broad, highly diverse and complex areas of responsibility including working with campus constituencies to strengthen relationships and improve operations. She also works to develop and sustain effective working relationships with the Office of the President, county and city governments, California Coastal Commission, and other external agencies. She has 36 years with UC, serving 21 years at UC Riverside moving to UCSB in 1994.

Neal Cohen

Professor of Anesthesia & Medicine and Vice Dean, University of California at San Francisco

Dr. Cohen is Professor of Anesthesia and Medicine and Vice Dean for the UCSF School of Medicine. He currently serves as the co-director for the UCSF Clinical Compliance Program, Medical Director for the UCSF Risk Management Program and the International Medical Service. He recently chaired the committee that drafted the UCSF Vendor relations policy. Dr. Cohen received a BA degree from the University of Wisconsin, an MD from UCSF School of Medicine, MPH from the UC Berkeley, and MS in Management from the Stanford University Graduate School of Business. Dr. Cohen was recently awarded the Lifetime Achievement Award from the American Society of Critical Care Anesthesiologists. Dr. Cohen has authored numerous articles and presented lectures on a wide array of topics related to the care of the critically ill patients, practice management, compliance and regulatory affairs.

Cynthia Cooper

Co-Founder & President, The CooperSaxton Group, LLC

Ms. Cooper is President of The CooperSaxton Group, LLC, a firm that provides professional services to public and private sector clients in the area of corporate and board-level training, enterprise risk and governance, internal and forensic investigations, litigation support, program management and oversight, ethics and compliance, and internal audit. Ms. Cooper served as the Chief Audit Executive for MCI until July 2004. Prior to joining MCI, she worked in public accounting in Atlanta, Georgia for PricewaterhouseCoopers and Deloitte & Touche. Ms. Cooper received her undergraduate degree in Accounting from Mississippi State University and her Masters of Accountancy from the University of Alabama. She is currently Chairperson of the Louisiana State University Center for Internal Auditing Advisory Board and serves on advisory boards for Lehigh University and Mississippi State University. She is also a member of the Institute of Internal Auditors, the American Institute of Certified Public Accountants, the Information Systems Audit and Control Association, and the Association of Certified Fraud Examiners.

In 2002, Ms. Cooper was named one of *Time Magazine's* Person's of the Year. She was inducted into the 2004 AICPA Hall of Fame and was featured as one of twenty-five influential working mothers in *Working Mother*. Ms. Cooper is also the recipient of the American Accounting Association's Accounting Exemplar Award, which is awarded annually to an individual who has made notable contributions to professionalism and ethics in accounting practice or education. Ms. Cooper received the Maria and Sidney E. Rolfe Award from the Women's Economic Round Table for contributions to educating the public about economics, business and finance. Ms. Cooper is the author of *Extraordinary Circumstances* (February 2008, John Wiley & Sons), a book that discusses her experiences and lessons learned at WorldCom.

W. Doug Creech

Principal Auditor, Doug Creech Audits, LLC

Doug Creech is the founder and lone shareholder of Doug Creech Audits, LLC, and offers his construction auditing and consulting services exclusively to owners. He is a Certified Cost Consultant and a Certified Internal Controls Auditor. Mr. Creech has authored several technical papers on cost engineering and construction auditing and is considered an expert in the field. His paper "Sarbanes-Oxley and Cost Engineering" was published in the July, 2006, edition of Cost Engineering magazine. Mr. Creech was previously employed with E. I. DuPont de Nemours & Co., Inc. and Carolinas Healthcare System. At CHS he established its first-ever internal construction auditing program. Mr. Creech is also a member of the American Arbitration Association and on its Panel of Arbitrators. As an arbitrator, he has chaired tribunals on large international cases in both New York and New Orleans.

Grace Crickette

Chief Risk Officer, University of California Office of the President

Grace Crickette is the University's Chief Risk Officer. Grace joined the University in December of 2004 after thirteen years as a Vice President and Officer in audit, insurance, safety, and human resources capacities for the equipment and construction industry. Grace graduated with distinction from the University of Redlands with a Bachelors Degree in Business Administration and holds a variety of designations in the areas of claims, safety, audit and human resources. Major initiatives for the Risk Services department include reducing the cost of risk, implementing system and local safety programs, improving claims management systems, developing risk financing strategies, and implementing Enterprise Risk Management (ERM), and Emergency Management and Business Continuity Planning throughout the University. In 2008, Grace received the Risk Innovator Award for innovation and excellence in risk management in higher education.

Dave Curry

Associate Director of Audit & Advisory Services, University of California at Los Angeles

Dave Curry, CIA, CISA, - Associate Director of Audit & Advisory Services (UCLA)
B.A. Claremont McKenna College; M.B.A. UCLA
Member - IIA North American and Global Board of Directors
Member - IIA CIA Learning System and Seminar Faculty

Wendi Delmendo

Compliance Director, University of California at Davis

Wendi Delmendo is Compliance Director for UCD. She is responsible for the campus' compliance with Title IX and the ADA, and serves as Retaliation Complaint Officer for the campus. She also assists the Provost and Assistant Executive Vice Chancellor in implementing the UC Ethics and Compliance Program.

Jessica Drew de Paz

Environmental Health & Safety Training Coordinator, University of California at Irvine

Jessica Drew de Paz is the Environmental Health & Safety Training Coordinator at UCI. She oversees the analysis, development and implementation of campus-wide safety training. Jessica recently led a team in creating a blended (classroom plus eLearning) laboratory safety program. The program received the Award of Distinction by the Campus Safety, Health and Environmental Management Association, a division of the National Safety Council.

John K. Dugan

Partner, PricewaterhouseCoopers

John Dugan is a Partner with PricewaterhouseCoopers and serves as the Philadelphia Market Leader for the Health Industries Sector. In addition to market responsibilities, John serves as the national leader for Governance, Risk and Compliance services for the healthcare provider segment of Health Industries. He has been with PricewaterhouseCoopers for 15 years and previously served eight years in various financial management positions at the largest teaching hospital in Philadelphia as well as a community hospital. He has a B.S. in Accounting from La Salle University.

John is currently serving as the Past President of the Metropolitan Philadelphia Chapter of the Healthcare Financial Management Association, serves on the board of the Foundation of Research and Education for the American Health Information Management Association, and is on the board of the Foundation of Research and Education for the American Health Information Management Association. He is a board member and serves as the Audit Committee Chair for the Inglis Foundation. John is also a member of the De'Touqueville Society of the United Way.

Dr. Robert Emery

Vice President for Safety, Health, Environment & Risk Management, University of Texas Health Science Center at Houston

Dr. Robert Emery is the Vice President for Safety, Health, Environment & Risk Management for The University of Texas Health Science Center at Houston and Associate Professor of Occupational Health at the University of Texas School of Public Health. Dr. Emery has over 27 years of experience in health & safety and holds master's degrees in health physics and environmental sciences, and a doctorate in occupational health. Dr. Emery is unique in that he also possesses national board certification and registration in all of the main areas of health and safety. Dr. Emery is the author of over 50 peer-reviewed articles on practical health and safety topics and makes frequent presentations on such issues at the local, national, and international level.

Marie Felde

Executive Director of Media Relations, University of California at Berkeley

Marie Felde has been the Executive Director of Media Relations at UCB for the past 10 years and has been a Public Affairs Manager at the campus since 1992. Previously she was a reporter and editor with daily newspapers in the San Francisco Bay Area for 15 years.

Donald Fischer

Principal, Fischer & Associates

Donald Fischer is the Principal of Fischer & Associates. Based in San Francisco and Washington, D.C., Fischer & Associates is one of the country's leading practices in export compliance programs. Mr. Fischer holds a J.D. and LL.M from the University of Miami School of Law, and is a member of the State Bar of Georgia, the California Chamber of Commerce International Trade Committee. He is a frequent lecturer for the American Conference Institute on export regulations, C5 and SMI based in Europe, the National Conference of University Research Administrators (NCURA), and San Francisco State University. Prior to founding Fischer & Associates, Mr. Fischer served as the Managing Director of PricewaterhouseCooper's Worldtrade Management Services practice, and Global Export Controls Leader. Mr. Fischer has served as an Associate Attorney with Horton, Whiteley & Cooper and as a Director of International Trade at Deloitte & Touche. He is the author of many articles on export control compliance, Customs valuation, and multinational trade planning for the *Journal of Commerce*.

Geraldine Gail

Director of Internal Audit & Advisory Services, University of California at Santa Cruz

Geraldine Gail, Certified Public Accountant, has served as the Director of Internal Audit and Advisory Services at UCSC for 16 years. She was also an auditor at UCD and for the California State Auditor's Office totaling 14 years. Her current duties at UCSC include serving as the UCSC Conflict of Interest Coordinator (16 years), member of the campus Investigations Workgroup, and UCSC Computing Security Committee. Her 30 years of investigative related experience includes investigations of fraud in the areas of purchasing, leasing, contracting, computer operations, cashiering, accounts receivable/sales, asset management, construction, personnel management, timekeeping, and conflict of interest. Geri currently has a lecturer appointment with the UCSC Economics Department teaching Auditing & Attestation and Advanced Accounting courses. She is Accounting Instructor at American River College and has taught at many conferences at the University of California and the Institute of Internal Auditors. Geri is also a Certified Internal Auditor earning the *William S. Smith Certificate of Honor* for obtaining one of the top 20 scores worldwide on her CIA examination.

Michael Gowell

General Manager and Vice President, CCH TeamMate

Michael Gowell is General Manager and Vice President of TeamMate. He manages all aspects of the day-to-day CCH TeamMate Audit Management Systems (TeamMate) operations including management of the Development Team, Implementation Teams and Support Centers. Michael has 22 years of audit, audit methodology and audit management software experience and is a frequent keynote speaker at national industry conferences. He is considered a leading expert on audit management systems and has personally implemented TeamMate at over 300 organizations. Prior to joining CCH, Michael was a Managing Director at PricewaterhouseCoopers where he was responsible for establishing TeamMate in 1994.

Odell Guyton

Director of Compliance, Anti-Trust Compliance Officer and Senior Corporate Attorney, Microsoft Corporation

Odell Guyton is the Director of Compliance, Anti-Trust Compliance Officer and Senior Corporate Attorney in the Office of Legal Compliance for the Microsoft Corporation. Guyton is responsible for establishing and maintaining an effective global compliance and ethics program for the company. Prior to assuming his role at Microsoft, he served as the Corporate Compliance Officer for the University of Pennsylvania and for the University of Pennsylvania Health System. Guyton is an alumnus of Moravian College, Bethlehem, Pennsylvania. He received his law degree from The American University in Washington, D.C. and is a candidate for a Master's degree from the University of Pennsylvania Center for Bioethics. Mr. Guyton is experienced in complex litigation in the areas of "white collar" criminal defense, fraud, tax, money laundering, RICO, and qui tam litigation. He received several awards and commendations as an Assistant U.S. Attorney and an Assistant District Attorney in Philadelphia, Pennsylvania. He has extensive experience in the areas of corporate compliance, corporate internal investigations, legal audit, federal and state grand jury representation and the federal sentencing guidelines. He serves on several governing boards and is a past President of the Healthcare Compliance Association (HCCA) Board of Directors and a Co-chair of the Society for Corporate Compliance and Ethics (SCCE). He is a member of the Washington State Bar, the Pennsylvania Bar and various Federal Bar Associations.

Kathleen Haas

Associate Accounting Officer for Extramural Funds Accounting, University of California at Davis

Kathleen Haas began her career in research administration in 1997 at UCD. She has twenty-five years of service at the Davis campus with experience at the department and central office levels of the organization. Kathleen received her Bachelor of Science degree in Accounting from CSUS in 1981, earned the certification of CPA in 1983 and achieved the certification of CRA in 2003. Her career in accounting has included working in public accounting as an auditor and in the private sector in the positions of Assistant Controller and Controller. Currently she serves as the Associate Accounting Officer for Extramural Funds Accounting at UCD. In that position she is responsible for all post-award activities, major compliance areas and gift and endowment activities.

Terry Hamilton

Audit Director, Lawrence Berkeley National Laboratory

Terry Hamilton is Audit Director at LBNL, operated by UC for the U.S. Department of Energy. LBNL conducts unclassified research across a wide range of scientific disciplines. Terry is a Certified Public Accountant & Certified Internal Auditor. Prior to joining LBNL 10 years ago, Terry was Director of Audits for The State Bar of California, Audit Supervisor for Chevron, Director of Audit Professional Development for Gulf Oil, Audit Manager for three Fortune 100 steel companies and a Senior Auditor at a "Big 8" CPA firm. Terry was a Captain and Commanding Officer in the U.S. Army and received his M.B.A. and taught Accounting at the University of Kentucky.

Lynda Hilliard

Deputy Compliance Officer, University of California Office of the Regents

Lynda recently joined UC as the Deputy Compliance Officer and previously worked in the Health Care Regulatory Practice of Deloitte & Touche LLP as a senior manager for the past seven years. Reporting to the Chief Compliance and Audit Officer at UC, Lynda is responsible for the daily operations of the Ethics and Compliance Services Office which includes ten campuses, five medical centers and one national laboratory. While at Deloitte she consulted with healthcare institutions, academic medical centers and other regulatory organization clients as they developed, reviewed and evaluated their compliance programs. Lynda has led client engagements involving health care corporate compliance program reviews as well as internal audits for health care-related entities, reimbursement, and billing and coding reviews. Prior to joining Deloitte, Lynda has held senior level operations and compliance positions in both acute care and extended care organizations prior to joining the firm in 2000. In addition to being a registered nurse, she is certified in health care compliance from the Health Care Compliance Association and certified in corporate compliance and ethics from the Society of Corporate Compliance & Ethics.

Kate Jeffery

Director of Student Financial Support, University of California Office of the President

Kate Jeffery is the Director of Student Financial Support at UC's Office of the President. Under Kate's two decades of leadership, the Education Finance Model (EFM) was developed and implemented as an integrated conceptual approach to the determination of how much financial aid is needed systemwide to preserve access for admitted students, the basis for the allocation of university financial aid funds to campuses, and a framework for equitable packaging for individual undergraduate awards. Dr. Jeffery earned a B.A. and a Ph.D. in Experimental Psychology from Stanford University.

Sherrill Jenkins

Principal Auditor, University of California at Davis

Sherrill Jenkins is a Principal Auditor at UCD. She is a Certified Internal Auditor and an ACL Certified Data Analyst with six years of internal auditing experience. Using ACL, Sherrill has been instrumental in providing beneficial information not only to her own projects, but also to her colleagues and the campus community.

Michael Jenson

Director of Audit & Advisory Services, University of California at Riverside

Mike's career includes 25 years of UCR audit director; part-time instructor of accounting and auditing classes at a state college; CFO in industry; and audit manager in one of the largest public accounting firms. He has a B.S. in Accounting and a M.B.A. Professional certifications: CPA, CIA, CISA and CFE.

Robert Kenney

Partner, Hogan & Hartson LLP

Bob Kenney is the lead partner in Hogan & Hartson's Federal Research Practice, which involves representation of colleges and universities engaged in federally-sponsored research. Mr. Kenney has represented many research institutions in connection with audits, False Claims Act investigations, and disputes with the federal government concerning direct and indirect cost recovery. He has advised many clients on establishment of research compliance programs, and has written several articles and made numerous presentations on the subject.

Carole Klove

Chief Compliance and Privacy Officer, University of California at Los Angeles

2005-Present Chief Compliance & Privacy Officer, UCLA Healthcare
2002-2005 Chief Compliance & Privacy Officer, Stanford Hospital and Clinics
And Lucile Salter Packard Children's Hospital
2000-2002 Principal, Deloitte & Touche LLP
1993-2000 Associate/Partner, Davis Wright Tremaine LLP
1991-1993 Associate Attorney, Law Offices of Ned Good
1986-1993 Associate Attorney, Law Offices of David M. Harney
1983-1986 Law Clerk, Law Offices of David M. Harney
1983-1986 Agent, The Equitable Life Assurance Society of the United States
1980-1983 Registered Nurse, Huntington Memorial Hospital

1986-Present State Bar of California

1989-Present District of Columbia Bar Association
Registered Nurse, Active, State of California
American Health Lawyers Association
Health Care Compliance Association

1995-2000 Institutional Review Board Member for Regional Medical Center
California Health Lawyers Association
Los Angeles County Bar Association

Alan Kolling

Campus Public Records Coordinator & Whistleblower Coordinator, University of California at Berkeley

Alan Kolling has worked at UCB for the last 24 years. He currently serves as the Campus Public Records Coordinator and Whistleblower Coordinator, and previously worked in the Legal Affairs and Student Judicial Affairs offices on campus. Prior to returning to the University, from which he earned his undergraduate, graduate and law degrees, Alan was engaged in private practice, specializing in criminal appellate work and immigration law. He is active in athletics and served as an assistant manager with the United States Olympic track team for the Beijing Olympics.

Heather Kopeck

Research Compliance Analyst, University of California Office of the Regents

Heather Kopeck is the Research Compliance Analyst in the Office of Ethics, Compliance and Audit Services. She provides analytic support to the Director of Research Compliance and the Research Compliance Advisory Committee. Additionally, Ms. Kopeck assists in the design and implementation of a diverse range of informational and educational programs to increase awareness of UC policies and state and federal regulations that affect institutions of higher education. Prior to joining UC, Ms. Kopeck was a Senior Auditor for the California Bureau of State Audits in Sacramento where she managed numerous audits of federal, state and local government programs. Ms. Kopeck holds a Master's of Public Policy from the UCLA School of Public Affairs and is Certified in Healthcare Research Compliance.

Tracie Kraftenberg, CIA, CFE

Senior Auditor, Internal Audit & Institutional Compliance, Stanford University
CIA Review Course Instructor, Chapman University Walnut Creek Campus

Tracie Kraftenberg is a Certified Internal Auditor and Certified Fraud Examiner, and over the last nine years her responsibilities have included performing and/or managing audit, consulting, and investigation engagements, as well as representing the audit function on executive-level compliance and systems implementation committees. Her audit experience spans the government, financial services, and higher education industries. Ms. Kraftenberg is the 2001 Walker Award winner for achieving the highest score on the CFE certification exam, and is a 2003 Certificate of Excellence winner for performance on the CIA exam. She is currently employed in Stanford University's Internal Audit & Institutional Compliance function, and is the CIA Review Course Instructor for Chapman University's Walnut Creek campus.

Leslyn Kraus

Associate Director for Internal Audit Services, University of California at Davis

Leslyn Kraus is the Associate Director for Internal Audit Services at UCD. She is a Certified Public Accountant and Certified Internal Auditor with over 20 years experience in internal audit and public accounting arenas.

Eugene Lau

Research Compliance Coordinator, University of California at San Francisco

Eugene Lau is the Research Compliance Coordinator, Office of Research, UCSF, with over 30 years of compliance experience. He has worked for the Department of Public Health, City and County of San Francisco; Cal/OSHA; Cal/EPA; and Harding Lawson Associates Engineering. A long time UC employee, he has worked as the Environmental Health & Safety Director for UCSF and Deputy Health & Safety Director for the Lawrence Berkeley National Laboratory. He received his A.B. in bacteriology from UCB. He is a Certified Industrial Hygienist, Certified Safety Professional, Registered Environmental Specialist, Certified HIPAA Professional, and a former Certified Hazardous Materials Manager.

Cheryl Lloyd

Risk Manager, University of California Office of the President

Cheryl Lloyd is the Risk Manager, Liability and Property Programs in the Office of Risk Services at the University of California, Office of the President. In this capacity, Cheryl is responsible for the systemwide General Liability Program (including employment practices and fleet) and the University's Property Programs encompassing over \$45 billion in property values. Cheryl is also the point person for the University's Travel Insurance and Security program. Cheryl is currently working on a project to secure liability insurance for University Student Groups. Cheryl began her career in insurance and risk with Chubb Insurance. She joined U.C. in 1998. Prior to joining UC, she was the corporate risk manager for Core-Mark International. Cheryl has a degree in mass Communications from UCB.

Robert Loessberg Zahl

Assistant Executive Vice Chancellor, University of California at Davis

Bob Loessberg-Zahl is Assistant Executive Vice Chancellor at UCD. He is the campus Locally Designated Official (LDO) and serves as staff to the campus Ethics and Compliance Risk Committee. He also serves as the chief operations officer of the Offices of the Chancellor and Provost.

Greg Loge

Manager of IT Audit, University of California at Davis

For the past 9 years Greg Loge has led IT organizations, consulted for large public and private clients, and played a hands-on role in the design, configuration, and security for a range of IT systems. Through this he has held positions as a Chief IT Officer, consultant, system administrator and IT director. Greg has an M.B.A. and an emphasis in Finance and IT from UCD, as well as being a Certified Information Systems Security Professional (CISSP) and a GIAC certified Systems and Network Auditor (GSNA). This unique combination of hands on technical security experience coupled with formal business education give him a strong background in tying the risk posed by insecure systems and practices to the business impacts of the organization. Greg currently is the Manager of IT audit for UCD where his work covers the diverse systems found in a large research one university with a nationally ranked teaching hospital. Greg is currently a SANS Institute Mentor for the Auditing Network Perimeters and Systems course as well as a member of the SANS GIAC Certification Advisory Board.

John Lohse

Director of Investigations, University of California Office of the Regents

John A. Lohse is the Director of Investigations in the Office of the Senior Vice President/Chief Compliance and Audit Officer in the Office of the Regents. He is responsible for coordinating, tracking, managing and conducting investigations at the Office of the President and systemwide. He came to UC in January 2004 after a career with the FBI, where he served as a Special Agent, Associate Division Counsel and Chief Division Counsel for the FBI's San Francisco Division. He has also served as a criminal prosecutor with the Maricopa County Attorney's Office in Phoenix, Arizona. John is a member of the State Bars of California and Arizona.

Harry Lorsbach

Principal Auditor, Lawrence Berkeley National Laboratory

Harry Lorsbach is a Principal Auditor at LBNL, which is operated by UC for the U.S. Department of Energy. LBNL conducts unclassified research across a wide range of scientific disciplines. Harry is a Certified Public Accountant and Certified Internal Auditor. Prior to joining LBNL 5 years ago, Harry worked as an audit and quality assurance manager for the Defense Contract Audit Agency for 29 years. For the past 16 years, Harry has also taught, on a part-time basis, auditing courses at several colleges and universities, including UCB and UCSC Extensions.

David Meier

Campus Audit Manager, University of California at San Diego

David Meier is the Campus Audit Manager with UCSD's Audit & Management Advisory Services. David is a Certified Public Accountant and Certified Internal Auditor, and has approximately twenty years of experience in internal auditing. David is also a member of the Information Systems Audit and Control Association. At UCSD, David coordinated the effort to develop an in-house IS security audit program incorporating the use of state-of-the-art electronic vulnerability assessment tools to evaluate IS security practices.

Stella Ngai

Director of Public Records & Information, University of California Office of the President

As UCOP Director of Public Records & Information, Stella Ngai coordinates UCOP Public Records Act responses. Prior to coming to UCOP in 2006, Stella practiced law as a civil litigator and a criminal prosecutor. She is a graduate of UCB and Santa Clara University School of Law.

Jorge Ohy

Manager of the Costing Policy & Analysis Office, University of California Office of the President

Jorge Ohy is the manager of the Costing Policy & Analysis Office at UCOP. He is responsible for the overall coordination and management of the annual audit of federal awards expenditures conducted by the University's external auditor. His office also provides systemwide guidance and services related to contract and grant costing issues, including the calculation and negotiation of indirect cost rates with the federal government. He has served the University in this field for over 25 years.

Robert Ono

IT Security Coordinator, University of California at Davis

Robert Ono was appointed as IT Security Coordinator for UCD in 2000. Robert is responsible for developing and implementing an information security strategy for UCD. Robert has held management and operational positions in information security for over 20 years. As the former Information Security Officer for one of the largest municipal electrical utilities in the U.S., Robert served as one of the original contributors to the development of an information security model and information sharing and analysis center for electrical utilities in the U.S.

Tony Perez

Chief Health Sciences Council, University of California at San Diego

Tony Perez is the Chief Health Sciences Counsel for UCSD where he advises health sciences executive management and administration on all aspects of health law. He provides legal advice in areas such as compliance with regulatory and ethical requirements of research, establishing and maintaining contractual relationships, litigation with managed care organizations, compliance with Medicare, Medi-Cal, and other federal and state billing requirements, and compliance with federal and state fraud and abuse laws regarding practitioner self-referral and kickbacks. Prior to coming to UCSD Tony served as the Chief Compliance Officer for the UCD Health System and he administered the Human Subjects Protection Program for UCD as its IRB Director. Tony also served as Health System Counsel for the UCD Health System after leaving private law practice.

Luanna Putney

Director of Research Compliance, University of California Office of the Regents

As Director of Research Compliance, Luanna Putney provides systemwide research compliance oversight in the areas of Contracts & Grants, Protection of Human and Animal Research Subjects, Research Integrity, Conflict of Interest, Environmental Health & Safety, and Export Control. Prior to joining the UCOR Luanna was an Intellectual Property Officer at UCD InnovationAccess for six years where she was responsible for managing medical therapeutics, devices and diagnostic inventions coming out of the School of Medicine. She has a Ph.D. in Physiology & Membrane Biology from UCD and a B.S. in Biology from California State University.

Kumar (Ravi) Ravindran

Auditor V, University of California at San Francisco

Ravi is an Auditor V attached to UCSF. In the past, he was attached to the internal audit section of the City of St. Louis for several years, and subsequently became the grants manager for the City. He has also worked for the University of Maryland University College as their internal auditor. In recent years, he has worked at several universities as the controller or assistant controller. Ravi is a licensed Certified Public Accountant and Certified Internal Auditor.

Patrick Reed

University Auditor, University of California Office of the Regents

Patrick Reed is the University Auditor at the University of California. He joined UC in this capacity in late 1994. He is a CPA and was previously with KPMG, Peat Marwick for 22 years in Kansas City, MO, and Phoenix, AZ, where he specialized in public sector clients and financial institutions. At UC he reports to Senior Vice President and Chief Compliance & Audit Officer, Sheryl Vacca, an officer of The Regents who oversees the Internal Audit Program through their Committee on Compliance and Audit. He oversees an internal audit program carried out by auditors located at nine UC campuses, LBNL and UCOP. He also serves on the Audit & Ethics Committees for the LLC's managing Los Alamos and Livermore National Laboratories. Mr. Reed is a 1971 graduate of Rockhurst College in Kansas City.

Max Reynolds

University Counsel, University of California Office of the Regents

Max Reynolds is Health System Counsel to the academic medical centers at UCLA, UCSD, UCI, UCSF, and UCD. In that capacity, he regularly counsels these facilities on transactional matters, Medicare reimbursement, and fraud and abuse compliance. Before joining the UC Health System, Max was a partner in the Health Law Department in the Washington, D.C. office of the law firm of McDermott, Will & Emery, LLP. He currently serves on the UHC Legal and Compliance Council Steering Committee and has repeatedly been voted "One of the Handsomest Lawyers in America."

Charles David Rosen, M.D.

Clinical Professor of Surgery, University of California at Irvine

Charles Rosen is a board certified orthopaedic spinal surgeon and Clinical Professor of Surgery at UCI, College of Medicine where he practices and teaches full time. He is the founding Director of the UCI Spine Center started in 2002. In 2006 Dr. Rosen founded the Association for Ethics in Spine Surgery which now has almost 200 spine surgeon members and for which he serves as president. He lives in Manhattan Beach, California, with his wife, Elizabeth B. Lippincott, and their three children.

Regent Fred Ruiz

Chair of the Compliance and Audit Committee, University of California Board of Regents
Chairman & CEO, Ruiz Foods

Fred Ruiz is Chairman and CEO of Ruiz Foods, a leading manufacturer of authentically prepared frozen Mexican foods. Mr. Ruiz is an advisor and founding member of the Institute for Family Business at California State University, Fresno and serves on the president's board for the University. He is a board member of UC and Merced Foundation. Mr. Ruiz is also on the boards of directors of the California Chamber of Commerce, the McClatchy Company, Gottschalks, Inc., and Valley CAN "Clean Air Now." Mr. Ruiz was appointed as a Regent in 2004 by Governor Schwarzenegger.

Elaine Saxton

Co-Founder & Principal, Cooper/Saxton Group

Elaine Saxton is co-founder and principal in The CooperSaxton Group, a firm that provides professional services to public and private sector clients in litigation support, corporate and board-level training, program management and oversight, ethics and compliance, governance and enterprise risk, internal audit, and forensic investigations. She is a Certified Internal Auditor and Certified Information Systems Auditor with over twenty years experience in internal audit and corporate accounting. Ms. Saxton is a former Director of Internal Audit for MCI where she played a key role in helping to establish the company's internal audit function and ethics office. Ms. Saxton was awarded the MCI Circle of Excellence Award for her contribution in the areas of best-practices benchmarking, internal controls, internal audit, and ethics.

Patrick Schlesinger

Assistant Vice Chancellor, University of California at Berkeley

Patrick Schlesinger is the Assistant Vice Chancellor for Research Administration and Compliance at UCB. The Research Administration and Compliance office oversees Berkeley's Office for the Protection of Human Subjects, the Animal Care and Use Committee, the Conflict of Interest Committee, and the Sponsored Projects Office. Prior to joining UCB, Mr. Schlesinger served as the systemwide Director of Research Compliance at the UCOP and as University Counsel in the Office of the General Counsel. Before joining the University, Mr. Schlesinger was a partner at the law firm of Landeis, Ripley & Diamond in San Francisco and was an attorney with the U.S. Environmental Protection Agency in Washington, D.C. He has a Bachelor of Music from San Diego State University with majors in music performance and American History and a J.D. from the George Washington Law School in Washington, D.C.

Paul Schwartz

Communications Director, University of California Office of the President

Paul oversees communications strategy, media relations and issue management for such areas as employee relations, health sciences, general research, and business operations. Prior to UC, Paul was communications director for State Street Global Advisors, an international financial services firm. He has also held similar positions in retail and food service companies. Paul holds a B.A. in Communication from UCSB.

Maria Shanle

Senior Counsel, University of California Office of the Regents

Maria Shanle is Senior Counsel in the UC Office of the General Counsel, Governance and Compliance Section. Her practice includes matters related to privacy, the California Public Records Act, open meeting and other governance issues, and compliance. She is a graduate of Carleton College in Minnesota (B.A. 1993) and the UCB (Boalt Hall) (J.D. 1996).

Cliff Skinner

Director of Medical Group Business Services, University of California at San Francisco

For the past ten years, Cliff has been the Director of Medical Group Business Services (MGBS) at UCSF. Cliff leads a team of approximately 120 talented staff in the Central Billing Office for the Faculty Practice Plan. His 28 year management career also includes positions with Sutter Health, Medicom Corporation, Electronic Data Systems and three years as a lieutenant in the U.S. Marine Corps. Cliff received his bachelors from UCI and his Masters in Public Administration at UCSF.

Matthew St. Clair

Sustainability Manager, University of California Office of the President.

Matthew St. Clair is the first Sustainability Manager for UCOP, leading sustainability efforts across the 10-campus UC system since 2004. Mr. St. Clair is a founding member of the Board of Directors for the Association for the Advancement of Sustainability in Higher Education. He also serves on the Chancellor's Advisory Committees on Sustainability at UCB, UCSF, UCSC, and UCM. Mr. St. Clair has delivered lectures at numerous universities, been an invited keynote speaker at several regional and national conferences, and has advised the U.S. House of Representatives on the formation of an Office of Sustainability for the U.S. Capitol. He has been profiled in *Business Week* and *Business Officer* magazines, and was given the 2007 Sustainability Champion award at the 6th Annual California University Sustainability Conference in June 2007. Mr. St. Clair has a Masters degree in environmental policy from the Energy and Resources Group at UCB and a Bachelors degree in economics from Swarthmore College. He is a LEED Accredited Professional

Tye Stallard

Security Administrator, University of California at Davis

As a Security Administrator, Tye Stallard, CISSP, has provided computer forensic services to UCD for three years. Prior to UCD, he worked in Virginia for various federal government software and security contracts. He completed his Masters degree at UCD in 2003 focusing on research in automated computer forensics.

Fred Takemiya

Senior Counsel, University of California Office of the Regents

Fred Takemiya is a Senior Counsel in UC's Office of the General Counsel. Mr. Takemiya has handled grievances, arbitrations, administrative hearings, litigation in state and federal courts, including several jury trials to verdict, as well as appeals in federal and state courts, and the California Supreme Court. He served for over 15 years as the campus attorney for UCR, and for three years at UCSC. Mr. Takemiya provides legal counsel to the Division of Agriculture and Natural Resources, serves as the University's Attorney in Residence Matters, advises on institutional advocacy issues, and is a member of the Educational Affairs section.

Sheryl Vacca

Senior Vice President and Chief Compliance & Audit Officer, University of California Office of the Regents

Before coming to UC's new role of Senior Vice President and Chief Compliance & Audit Officer, Sheryl Vacca was a director with Deloitte and Touche, LLP, a global management consulting firm, and served as a national leader in the firm's internal audit and regulatory practice areas. Ms. Vacca served as vice president for internal audit/corporate compliance officer for Sutter Health system and held numerous senior administrator positions for healthcare organizations. Ms. Vacca is the recipient of numerous awards, including the Health Care Compliance Association Pinnacle Award for contributions to the compliance profession, and she is invited regularly to speak to national organizations on corporate compliance and audit. Her current board service includes the Health Care Compliance Association, Society of Corporate Compliance and Ethics Advisory Board Member and is a member of several compliance and audit related professional associations. She is also a member of several professional editorial boards, including the *Corporate Compliance Officer*, published by Opus Communications, and she has published several articles on compliance and auditing.

Dr. Garen Wintemute

Professor of Emergency Medicine & Director of the Violence Prevention Research Program, University of California at Davis

Dr. Wintemute is professor of emergency medicine and director of the Violence Prevention Research Program at UCD School of Medicine, and attending physician in the emergency department at UCD Medical Center. In 2006, he chaired the UCD faculty committee charged with reviewing the relationship between medicine as a profession and the pharmaceutical and medical device industries.

Deborah Yano-Fong

Chief Privacy Officer, University of California at San Francisco

Deborah Yano-Fong, RN, MS, PRC, CHP, is the Chief Privacy Officer for the entire UCSF enterprise, including the Medical Center, associated clinics, research centers, professional schools (Schools of Medicine, Nursing, Dentistry and Pharmacy) for all issues of privacy and confidentiality of patient and protected health information. She serves on numerous committees with oversight for access to and release of information from clinical systems, medical records, and administrative systems. Ms. Yano-Fong received her undergraduate degree from UCB, a Bachelors of Science degree in Nursing & Public Health Nursing from UCSF where she also earned a Masters of Nursing. She has over 28 years experience in the clinical environment as the former Head Nurse for the Neurological, Neurosurgical, and Northern California Epilepsy Center, Co-Director of Patient Relations, Assistant Director of Patient Services/Community Liaison, and Director of Health Education.

Mark G. Yudof

President, University of California

Mark G. Yudof was named the 19th president of the University of California on March 27, 2008, and took office June 16, 2008. Prior to coming to UC, Mr. Yudof served as chancellor of the University of Texas System from August 2002 to May 2008 and as president of the four-campus University of Minnesota from 1997 to 2002. Before that, he was a faculty member and administrator at the University of Texas at Austin for 26 years, serving as dean of the law school from 1984 to 1994 and as the university's executive vice president and provost from 1994 to 1997. In 1971 he was appointed an assistant professor of law and was also a visiting professor at law schools at the University of Michigan and UCB, and conducted research as a visiting fellow at the University of Warwick in England.

Mr. Yudof is a distinguished authority on constitutional law, freedom of expression and education law who has written and edited numerous publications on free speech and gender discrimination. He is a fellow of the American Academy of Arts and Sciences and a member of the American Law Institute. He served a two-year term on the U.S. Department of Education's Advisory Board of the National Institute for Literacy and currently is a member of the President's Council on Service and Civic Participation. He earned an LL.B. degree (cum laude) in 1968 from the Law School of the University of Pennsylvania, where he also earned a B.A. degree (cum laude with honors in political science) in 1965. He was awarded the Alumni Award of Merit (2001) and the prestigious James Wilson Award (2004) by the University of Pennsylvania Law School for his many years of service and contributions to the legal community.

Abby Zubov

Director of Audit Services, University of California at San Francisco

Abby Zubov is Director of Audit Services at UCSF. She is a Certified Internal Auditor and Certified Information Systems Auditor with over 20 years experience in internal audit.