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Approved

COMMITTEE ON COMPLIANCE AND AUDIT

July 14, 2011

TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

1. **APPROVAL OF A CHANGE TO THE EXTERNAL AUDIT PLAN FOR THE YEAR ENDING JUNE 30, 2011**

The Committee recommends that the scope of the external audit plan be modified to remove the expanded procedures at the Lawrence Berkeley National Laboratory (LBNL) for the year ended June 30, 2011. With the approval of this recommendation, the scope of the external audit work performed at LBNL by the University's external auditors will include the procedures required for the auditors to issue an opinion on the University's consolidated financial statements.

2. **ANNUAL REPORT ON INTERNAL AUDIT PLAN 2011-12**

The Committee reports its approval of the Annual Report on Internal Audit Plan 2011-2012.

3. **ETHICS AND COMPLIANCE PROGRAM PLAN FOR 2011-12**

The Committee reports its approval of the Ethics and Compliance Program Plan for 2011-12.